

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 23 2003
TECHNOLOGY CENTER 2800

Applicant: BASTUE et al. Examiner: Unknown
Serial No.: 09/928159 Group Art Unit: 2872
Filed: August 10, 2001 Docket No.: 01485.0015-US-01
Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 16, 2003.

Iain A. McIntyre
Name

J. C. McIntyre
Signature

Commissioner for Patents
Washington, D.C. 20231

- ☒ Supplemental Information Disclosure Statement, Form 1449, copies of 18 reference(s)
☒ Transmittal Sheet
☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: January 16, 2003

By:

J. C. McIntyre
Iain A. McIntyre
Reg. No. 40,337
IAM/vlb



Serial No. 09/928159

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Iain A. McIntyre
Name

Signature

Information Disclosure Statement Under 37 C.F.R. §1.97(b)

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference

has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

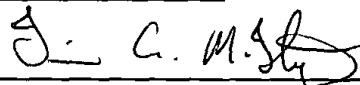
Respectfully submitted,

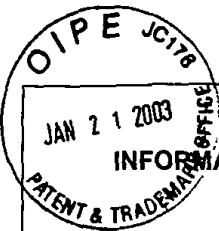
Altera Law Group, LLC



Date: January 16, 2003

By:


Iain A. McIntyre
Reg. No. 40,337
IAM/vlb


INFORMATION DISCLOSURE STATEMENT
 PTO Form 1449

 Docket Number
 01485.0015-US-01

 Serial Number
 09/928159

 Applicant(s)
 BASTUE et al.

 Filing Date
 8/10/2001

 Group Art Unit
 2872

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		US 2002/0050557 A1	05/02/02	Kuroda et al.			
		US 2002/0054289 A1	05/09/02	Thibault et al.			
		4,102,576	07/25/78	Maeda et al.			
		5,355,237	10/11/94	Lange et al.			
		5,559,597	09/24/96	Batthey et al.			
		5,917,625	06/29/99	Ogusu et al.			
		5,966,483	10/12/99	Chowdhury			
		5,998,796	12/07/99	Liu et al.			
		6,108,471	08/22/00	Zhang et al.			
		6,144,487	11/07/00	Michishita			
		6,144,783	11/07/00	Epworth et al.			
		6,275,630	08/14/01	Yang et al.			
		6,449,066	09/10/02	Arns et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	/	WO 01/09656 A1	02/01/01	WIPO				
	/	WO 02/057814 A2	07/25/02	WIPO				
	/	EP 1001287 A2	05/07/00	EPO				
	/	EP 1052868 A2	11/15/00	EPO				
	/	GB 2374142 A	10/09/02	Great Britain				

OTHER DOCUMENTS

Examiner:

Date Considered: